

1 **VALIDATION OF A NEW AUTOMATIC SMOKING MACHINE TO STUDY**
2 **THE EFFECTS OF CIGARETTE SMOKE IN NEWBORN LAMBS.**

3
4 **Charles Duvareille¹, Benoit Beaudry², Marie St-Hilaire¹, Mathieu Boheimier¹, Cyril**
5 **Brunel², Philippe Micheau², Jean-Paul Praud¹**

6
7
8 1. Neonatal Respiratory Research Unit, Departments of Pediatrics and Physiology

9 2. Department of Mechanical Engineering

10 Université de Sherbrooke

11
12
13 Running title: Automatic smoking machine for newborn lambs

14
15
16 Address for correspondence

17 Jean-Paul Praud MD PhD

18 Departments of Pediatrics and Physiology

19 Université de Sherbrooke

20 J1H 5N4 - QC – Canada

21 Email: Jean-Paul.Praud@USherbrooke.ca

22 Fax: 1 (819) 564-5215

23 Phone: 1 (819) 346-1110, ext 14851

25 **ABSTRACT**

26 The aim of this study was to describe the characteristics and validate the use of a new,
27 custom-built automatic smoking machine (ASM) primarily designed to study the effects
28 of environmental tobacco smoke (ETS) exposure in animals of various sizes, including
29 large animals. The equipment includes a programmable ASM coupled to a vented whole
30 body chamber, where animals can be exposed to both mainstream and sidestream
31 smoke. The user-friendly interface allows for full programming of puff volume (1-60 ml),
32 time interval between two puffs (1-60 sec) and between two cigarettes (1-60 min). Eight
33 newborn lambs were exposed to either ten (4 lambs, C10 group) or twenty (4 lambs,
34 C20 group) cigarettes, 8 hours per day for 15 days. Four additional control lambs were
35 exposed to air (C0 group). Weight gain was identical in all 3 groups of lambs. Urinary
36 cotinine / creatinine ratio increased with the number of cigarettes smoked (C0: 11 ± 7
37 ng.mg^{-1} ; C10: $961 \pm 539 \text{ ng.mg}^{-1}$; C20: $1821 \pm 312 \text{ ng.mg}^{-1}$), with levels in the C10 and
38 C20 groups in keeping with values published in infants exposed to ETS.

39 Overall, results show that our new ASM is especially well suited for ETS exposure in
40 non-restrained, non-anesthetized large animals such as sheep.

41
42 **Keywords:** environmental tobacco smoke, mainstream smoke, sidestream smoke,
43 urinary cotinine, lamb.

44

45 **INTRODUCTION**

46

47 The early postnatal period is critical due to the immaturity of control centers involved in
48 vital functions such as respiration, cardiovascular function, sleep-wake cycles,
49 thermoregulation and swallowing function. Various innate or acquired factors can disrupt
50 normal development and maturation of these functions, paving the way for frequent
51 pathologies such as apparent life-threatening events of infancy or sudden infant death
52 syndrome (SIDS). Following worldwide campaigns to prevent prone sleeping, perinatal
53 passive exposure to tobacco smoke is now considered to be the single most important
54 cause of preventable death by SIDS (1-7). In fact, it has been calculated that one third of
55 reported SIDS deaths could have been prevented with avoidance of prenatal exposure
56 to tobacco smoke (8). Moreover, postnatal tobacco exposure has been reported to
57 increase SIDS by 2- to 3-fold (9). Finally, infants who died from SIDS tend to have
58 higher concentrations of nicotine in their lungs than controls (10).

59 Most studies on the effect of environmental tobacco smoke (ETS) exposure in the
60 perinatal period have focused on nicotine alone. However, of the at least 4000 different
61 chemical compounds present in tobacco smoke, more than one hundred are toxic,
62 suggesting that animal studies focusing on nicotine should be complemented by studies
63 on ETS exposure. In addition, studies on ETS must take into account that a burning
64 cigarette produces a combination of mainstream smoke MS (inhaled, then exhaled into
65 the environment by the smoker) and sidestream smoke SS (produced by a passively-
66 burning cigarette). Indeed, it has been previously shown that the relative composition of
67 both types of smoke is different (11, 12).

68 Various smoking machines have been built for the tobacco industry over the years, with
69 the primary aim of assessing and/or modulating the levels of various compounds in
70 cigarette smoke. With time, smoking machines have refined from a manually-operated
71 system to fully automatic and programmable systems. However, a significant drawback
72 of such systems designed for testing cigarettes by the industry resides in their
73 complexity.

74 While several animal studies on the effects of ETS in the perinatal period have also used
75 automatic smoking machines (ASM), few bear significant relevance to sudden infant
76 death syndrome pathogenesis (13). Interestingly, some effects of postnatal exposure
77 alone on brain cell development have been found to be identical to the effects of
78 prenatal plus postnatal exposure in rats and monkeys (13, 14). In recent years, we
79 became especially interested in assessing the effects of early postnatal ETS on
80 cardiorespiratory control in our newborn ovine models. However, commercially available
81 ASM failed to meet our needs for a programmable, user-friendly and compact system
82 allowing to assess the effects of both SS and (exhaled) MS smoke in freely-moving,
83 large developing animals during several days. We therefore designed and built a new
84 system under a close collaboration between the Departments of Physiology and
85 Mechanical Engineering at the Université de Sherbrooke. The aim of the present study
86 was thus to validate our custom-built system in newborn lambs exposed to cigarette
87 smoke for 15 days.

88

89 **MATERIAL AND METHODS**

90

91 **Animals**

92 Twelve mixed bred lambs (Dorset and Romanov species) were included in the study. All
93 lambs were born at term by spontaneous vaginal delivery in a local farm, then
94 transferred on the same day into our animal quarters. On their arrival, they were
95 immediately examined for clinical normality and received an IM injection of 0.75 mg
96 selenium, 35 IU vitamine E, $1.25 \cdot 10^5$ IU vitamin A and $15 \cdot 10^3$ IU vitamin K. Daily
97 cigarette smoke exposure was performed from day one to day fifteen, for a period of
98 eight hours per day in a Plexiglas exposure chamber with a stainless steel floor covered
99 by a soft absorbent mattress. Between exposure periods, lambs were kept in pair in an
100 animal pen with wood shedding and hay. Daylight cycle was 6 am – 6 pm, humidity 50%
101 and ambient temperature 24-26°C, as recommended by the Canadian Council on
102 Animal Care. Lambs were bottle-fed with ewe milk throughout their stay in our animal
103 quarters, but were not given colostrum. They also had unlimited access to water. The
104 study protocol was approved by the Animal Care and Use Committee of the University of
105 Sherbrooke.

106

107 **Equipment**

108 A full system including an automatic, programmable cigarette smoking machine and a
109 whole body exposure chamber was designed and built to expose freely moving lambs to
110 both MS and SS. In brief, cigarette smoke is produced by an ASM set to mimic the
111 action of a smoker. Both MS and SS smoke are circulated in a whole body exposure

112 chamber coupled with an in-line fan, which vents the smoky air out of the chamber *via* a
113 filtration unit and into the main air evacuation system of the room.

114

115 The automatic cigarette smoking machine

116 The apparatus is comprised of several components allowing for the automatic smoking
117 of cigarettes, according to researcher-programmable parameters, and to produce both
118 mainstream and sidestream smoke (fig 1).

119 **Extracting unit.** The extracting unit consists of a cigarette magazine and an extracting
120 system. The cigarette drops down by gravity from the cigarette magazine to a 13 mm
121 slot where a photomicrosensor (EE-SPX303, Omron Canada Inc., Toronto, ON,
122 Canada) detects its presence. Thereafter, a 24V motor (S1054B, Colman Motor
123 Products, Des Plaines, IL, USA) activates an extracting rod, which pushes the cigarette
124 through the slot (from left to right, see fig 1) to the holding unit. Two limit switch sensors
125 (5A250V, Omron Electronics, Toronto, ON, Canada) and a photomicrosensor (EE-
126 SX872, Omron Electronics, Toronto, ON, Canada) are responsible for the precise
127 positioning of the extracting rod.

128 **Holding unit and lighting unit.** When the cigarette pushed by the extracting rod
129 reaches the lighter, a Mini-Beam sensor (SM312 FPH, Banner, Minneapolis, MN, USA)
130 confirms the presence of the cigarette and activates the holder closure on the filter.
131 Holder closure/opening is powered by a step by step motor (Z817G BKN-10-6, Eastern
132 Air Devices, Dover, NH, USA). The open state is assured by a limit switch sensor
133 (Omron Electronics, Toronto, ON, Canada), while the closed state is assured by an
134 inductive sensor (DC 3-/4-Wire M8, Balluff Canada Inc, Mississauga, ON, Canada) and

135 a step motor driver (2035, Applied Motion Products, Watsonville, CA, USA). As soon as
136 the cigarette is firmly in position, a car lighter (212111, Casco Product Corporation,
137 Bridgeport, CT, USA) is activated by a photomicrosensor for two seconds. The cigarette
138 lighter unit is PVC isolated from the rest of the machine to prevent heat transfer and an
139 electric transformer is connected to the lighter cable to prevent electrical transfer.

140 **Smoking unit.** The smoking unit includes a 60 ml plastic syringe + tubing to collect the
141 mainstream and sidestream smoke from the burning cigarette as well as vent it out to
142 the exposure chamber. The unit is powered by a 24V DC motor (22VM51-020-
143 5, Honeywell POMS, Herndon, VA, USA) connected to the piston of the 60 ml syringe *via*
144 a screw and sliding rods. The syringe piston is pulled to aspirate the cigarette smoke
145 from the holder unit to the syringe through a rubber tube (Fisherbrand diameter: 3/8";
146 wall thickness: 1/16", Pure Natural Rubber Tubing, Fisher Scientific, Ottawa, Canada).
147 The syringe piston is then pushed to vent the smoke out of the syringe to the exhaust
148 hose of the smoking machine (tumble-dryer vent hose) through a second similar rubber
149 tube. Both tubes are connected to the syringe using a Y connector. The inflow and
150 outflow from the syringe is assured by a pinch valve activated by a solenoid (HS2506,
151 Kuhnke Automation Inc., Wayne, N.J., USA). When the cigarette is detected as fully
152 smoked (7 mm before the filter) by a Mini-Beam sensor (SM312 FPH, Banner,
153 Minneapolis, MN, USA) or when the preset time limit (five minutes) is reached, the
154 cigarette holder opens and the extracting rod subsequently pushes the cigarette into the
155 ashtray below half-filled with water. The smoking machine is enclosed in an airtight box
156 made of stainless and Plexiglas. The exhaust hose is located on the superior portion of
157 the right side of the box and is connected to the exposure chamber. An in-line fan,

158 located on the other side of the exposure chamber, continuously vents both the
159 mainstream and sidestream smoke from the box into the exposure chamber and then to
160 the main air evacuation system of the room.

161 **Control system.** A Programmable Logic Controller (VersaMax Micro PLC, GEFanuc,
162 Charlottesville, VA, USA) ensures the overall control of the smoking machine. The
163 controller is connected to a graphical interface (Data panel 45, GEFanuc, Charlottesville,
164 VA, USA), which allows for easy control of a number of parameters to reproduce various
165 smoking habits and hence various smoke exposures. The adjustable parameters include
166 the number of cigarettes to be smoked for a given exposure (1 to 40 cigarettes), the time
167 interval between 2 cigarettes (1 to 60 minutes), the volume of each puff (1 to 60 ml) and
168 the time between 2 puffs (1 to 60 seconds). Duration of puffs is set at 2 seconds.
169 Overall, this user-friendly interface allows for a high versatility of the control system.

170 In our laboratory, the ASM is operated in a room fully equipped with continuous
171 monitoring and alarm system (temperature, humidity, pressure, ventilation system,
172 smoke detection). Hence, no monitoring and alarm system was included in the ASM
173 itself, apart for an emergency stop button to prevent hazards during maintenance. The
174 ASM is operated at daytime only, with at least hourly observation by a dedicated
175 technician trained to check for good running of the equipment.

176

177 The exposure chamber

178 The whole body exposure chamber is composed of 1 cm thick-Plexiglas. Dimensions
179 are 1.2 m (length) x 1.2 m (width) x 1 m (height) with a floor surface of 1.44 m², in
180 accordance with Canadian Council on Animal Care standards for housing either one
181 pregnant ewe or two newborn lambs at the same time. The chamber is airtight, easy to

182 clean and simple to move using wheels. Air is vented from the smoking machine into the
183 chamber through a tumble-dryer vent hose (diameter: 10.2 cm) using an in-line fan
184 (PF100P Marbuco, Sherbrooke, Qc, Canada). Air flows out of the chamber through an
185 identical hose and an exhaust filtration unit attached to the room ventilation system. The
186 exhaust filtration unit is comprised of a foam pre-filter for big particles, a charcoal filter
187 and a HEPA filter. The in-line fan is permanently set to provide the level of ventilation
188 required by the Canadian Council on Animal Care for one ewe or two lambs, *i.e.*, 0.6-0.7
189 m³/min. Calibration and setting of the fan can be modified using a Handheld Digital
190 Airflow/temperature Meter (HHF92A, Omega Canada, Laval, QC, Canada), ultimately
191 allowing to adapt the chamber to different animal species.

192

193 **Design of the validation study**

194 For this study, the automatic smoking machine was preset at 2-second puff duration, 35
195 ml puff volume (in accordance with ISO 3308 norms) and an interval of 30 seconds
196 between 2 puffs. Measurements of carbon monoxide levels using the Q-trak plus 8554
197 system (TSI Inc. Shoreview, MN, USA) and particulate matter (including particulate < 10
198 µm and respirable particulate < 2.5 µm) using Thermo Anderson MIE DATARAM 4000
199 (Ashtead Technology, Montreal, Canada) were performed in the exposure chamber in
200 C10 and C20 conditions during a two to four-hour period to assess basal characteristics
201 of our exposure conditions in the absence of the lambs.

202

203 At their arrival in our animal quarters, all lambs underwent sterile surgery under local
204 anesthesia (xylocain 2%) in order to introduce an arterial catheter in the brachial artery
205 to collect blood samples for measuring pH, arterial PO₂ and PCO₂, HCO₃⁻ concentration

206 and hemoglobin oxygen saturation. The catheter was left in place for the entire duration
207 of the study and flushed twice daily with heparin solution. Daily exposure to cigarette
208 smoke (Peter Jackson King size, the most popular brand in Quebec at the time of the
209 study) was performed from the first to 15th day of life from 8:00 am to 12:00 p.m. and
210 from 12:30 pm to 4:30 pm. At 12:00 pm, lambs were bottle-fed with ewe milk *ad libitum*
211 and a urine sample was collected for cotinine and creatinine measurement (24-hour U-
212 Bag for newborn, Libertyville, IL, USA). Before and after each daily exposure, lambs
213 were also bottle-fed *ad libitum* with ewe milk. Body temperature and weight were
214 measured daily at the beginning of the exposure and an arterial blood sample was
215 collected at the beginning and at the end of the exposure. Three groups of randomly
216 selected lambs were studied: four control lambs were housed in the exposure chamber
217 throughout the 15 day-period, but exposed to air only (C0); four other lambs were
218 exposed to ten cigarettes per day (C10); finally, four lambs were exposed to twenty
219 cigarettes per day (C20). Lambs were systematically exposed in pair in the Plexiglas
220 chamber, at a temperature of 24-26°C, according to guidelines from the Canadian
221 Council on Animal Care for newborn lambs. Well-being of the lambs was ensured
222 throughout the exposure period by hourly observation by the technician specialized in
223 animal care and assigned to good running checking of the ASM. No recording was
224 performed during exposition periods Usual endpoints for lambs were included in the
225 protocol accepted by our Institutional Animal Care and Use Committee.

226 At day twelve of life, aseptic surgery was performed under general anesthesia (1–2%
227 isoflurane; 30% NO₂; 68% O₂). Atropine sulphate (150 µg/kg IM) was given
228 preoperatively with ketamine (10 mg/kg). Antibiotics (5 mg/kg gentamicin and 7,500
229 IU/kg Duplocillin) were administered intramuscularly before surgery and daily thereafter

230 until the end of the experiment. One dose of ketoprofen (3 mg/kg IM) was systematically
231 given immediately after induction of anesthesia for analgesia; an identical dose of
232 ketoprofen was repeated after surgery if needed. Two E2-12 platinum needle-electrodes
233 (E2-12, Grass Instruments Company, Quincy, MA, USA) were glued on ribs at the level
234 of the proximal forelegs for recording electrocardiogram (ECG). One E2-12 platinum
235 needle-electrode was also inserted under the scalp as a ground. Leads from these
236 electrodes were subcutaneously tunneled to exit on the back of the lamb. In addition,
237 custom-made electrodes were inserted into a glottal adductor for recording
238 electromyographic activity and two platinum needle-electrodes were inserted into the
239 parietal cortex directly through the skull for electrocorticogram (ECoG) recording, as part
240 of another protocol aimed at studying the effect of ETS on swallowing-breathing
241 coordination. At the end of the 15 day-exposure period, *i.e.*, 3 days after surgery, a
242 polysomnographic recording was performed during 4 hours in freely-moving lambs while
243 in the Plexiglas chamber, but after completion of smoke exposure. Just before the
244 recording, two respiratory inductance plethysmography bands were placed on the thorax
245 and the abdomen and a nasal thermocouple glued on the lateral aspect of the nostril for
246 monitoring respiration. Heart and respiratory rates calculated from those recordings
247 (Acknowledge 3.7.3 software, Biopac, Santa Barbara, CA, USA) were used in the
248 present validation study. Following completion of the polysomnographic recordings,
249 lambs were euthanized with an intravenous overdose of pentobarbital (90 mg/kg). The
250 larynx and first 2 cm of the trachea were collected and fixed in 10% formaldehyde for
251 histological assessment of local inflammation.

252

253

254 **Data analysis**

255 Weight and arterial blood gases were averaged daily for each group of lambs. Arterial
256 blood gases were corrected for lamb temperature (15). At day fifteen, heart rate (HR)
257 and respiratory rate (RR) were calculated for each stable 60-second epoch and
258 averaged in each lamb over the entire recording. Urinary cotinine was measured using
259 an Elisa immunoassay kit (Bio-Quant COTININE Direct Elisa, San Diego, CA, USA).
260 Collected urine samples (3 ml) were stored at - 20 °C until measurement. Cotinine
261 dosage was preferred to nicotine because of its longer half-life (15-20 h vs. 30 min-2 h
262 respectively), its slow renal elimination and high urinary concentration (6- to 25-fold
263 nicotine concentration). Creatinine was measured in the Department of Clinical
264 Biochemistry at the Sherbrooke University Hospital using a Vitros 950 chemistry system
265 (Ortho Clinical Diagnostics, Raritan, NJ, USA). Cotinine/creatinine ratio was calculated
266 at day fourteen and fifteen and first averaged for each lamb and thereafter for each
267 group. Collected laryngeal tissues were grossly sectioned and placed in a cassette for
268 dehydration and fixation in paraffin. Paraffin blocs were cut in 3µm slices using a
269 microtome and stained with eosin-hematoxylin. Inflammation was then graded for
270 epithelial and subepithelial changes at the level of the larynx and epiglottis (16).

271

272 **RESULTS**

273

274 **Functioning of the automatic smoking machine**

275 The automatic smoking machine met all our requirements for studying ETS exposure
276 (both sidestream and mainstream smoke) in freely-moving lambs for 15 days, while
277 providing a versatile, user-friendly interface. Two resolvable problems were encountered
278 during the validation period. The first was related to sleep disruption of the lambs by the
279 too noisy ASM, which was solved by enclosing the ASM in a stainless and Plexiglas box.
280 The second problem was related to the cigarette magazine; gravity was not always
281 sufficient for the cigarette to drop down. This was also rapidly solved by adding a small
282 weight (copper “cigarette”) on top of the cigarette stack.

283

284 **Behavior, weight gain and cardiorespiratory function**

285 All lambs except one (diarrhea for 8 days) tolerated the 15 day-exposure to cigarette
286 smoke without any apparent problems. Indeed, no differences in sleep, respiration and
287 feeding were clinically apparent between controls and exposed lambs. Figure 2
288 illustrates that mean weight at the onset of exposure and weight gain (C0: 126 ± 23
289 $\text{g}\cdot\text{day}^{-1}$; C10: $157 \pm 49 \text{ g}\cdot\text{day}^{-1}$; C20: $141 \pm 65 \text{ g}\cdot\text{day}^{-1}$) were identical in the three groups.
290 However, although not quantified, an increase in spontaneous activity during
291 wakefulness was noted in C10 and especially C20 lambs. Of note, lambs did not show
292 any sign of distress while in the exposure chamber.
293 Results on resting respiratory rate, calculated from polysomnographic recordings
294 performed at postnatal day 15, showed no differences between groups (C0: $41 \pm 10 \text{ min}^{-1}$

295 1; C10: $38 \pm 9 \text{ min}^{-1}$; C20: $37 \pm 8 \text{ min}^{-1}$), while C20 exposure seemed to increase heart
296 rate (C0: $178 \pm 26 \text{ min}^{-1}$; C10: $176 \pm 14 \text{ min}^{-1}$; C20: $191 \pm 15 \text{ min}^{-1}$). Arterial blood gas
297 values, obtained for control, C10 and C20 lambs, were respectively $\text{PaO}_2 = 85 \pm 5$
298 mmHg, $88 \pm 6 \text{ mmHg}$, $92 \pm 11 \text{ mmHg}$; $\text{PaCO}_2 = 44 \pm 8 \text{ mmHg}$, $46 \pm 4 \text{ mmHg}$, 42 ± 2
299 mmHg; $\text{pH} = 7.36 \pm 0.05$, 7.40 ± 0.04 , 7.41 ± 0.06 and $[\text{HCO}_3^-] = 23 \pm 2 \text{ mmol/L}$, 27 ± 4
300 mmol/L, $25 \pm 2 \text{ mmol/L}$; hemoglobin saturation in $\text{O}_2 = 95 \pm 4\%$, $97 \pm 1\%$, $97 \pm 1\%$.

301

302 **Urine cotinine measurement**

303 Mean values of urinary cotinine / creatinine ratio at day fourteen and fifteen were 11 ± 7
304 ng.mg^{-1} for C0 lambs, as compared to much higher values obtained in both the C10
305 group ($961 \pm 539 \text{ ng.mg}^{-1}$) and C20 group ($1821 \pm 312 \text{ ng.mg}^{-1}$).

306

307 **Carbon monoxide and particulate matter measurement**

308 Carbon monoxide was measured in the Plexiglas chamber in the absence of lambs,
309 while temperature was $20.9 \pm 0.1^\circ\text{C}$ and relative humidity $43.2 \pm 1.1\%$. Cigarette burning
310 was consistently responsible for a peak in CO (from 9 to 10 ppm) during 10-15 minutes,
311 and CO value was zero between peaks. CO peaks were twice as frequent in C20 lambs
312 comparatively to C10 lambs, as shown in figure 3.

313 Similar variations of particulate matter concentration were measured, with peaks
314 reaching $10\text{-}11 \text{ mg/m}^3$. As expected, nearly all particles had a mass median
315 aerodynamic diameter inferior to $2.5 \mu\text{m}$ (figure 4).

316 **Histological examination of larynx and epiglottis**

317 No significant epithelial or subepithelial inflammation was observed in the larynx of either
318 C10 (mean score 1.8/15) or C20 (mean score 0.5/15) lambs using the inflammation
319 scoring system of Koufman et al. 1991, when compared to controls lambs (mean score
320 0/15).

321

322 **DISCUSSION**

323
324 In the present study, we were able to validate a new custom-built automatic smoking
325 machine primarily designed to be versatile, user friendly, and which can be used and set
326 to different conditions by non-specialized personnel to study the effects of ETS in non-
327 restrained, developing lambs. Whilst our preliminary experience with the use of the
328 machine allowed us to readily correct the very few initial problems that arose, such as
329 the noise associated with the running of the machine and the malfunctioning of the
330 cigarette magazine, overall, our ASM has proven to be ideally suited to our needs.

331
332 Various smoking machines have been built for the tobacco industry throughout the
333 years, with the primary aim of assessing and modulating the levels of various
334 constituents in cigarette smoke. The first smoking machines were manually-operated
335 and able to burn only one cigarette at a time; in addition, only mainstream smoke could
336 be studied. Subsequent smoking machines (e.g., Filtrona ASM) were automatic, able to
337 burn several cigarettes and a number of parameters could be set. Currently available
338 ASM for the tobacco industry, such as the Borgwaldt or Cerulean ASM, can burn up to
339 20 cigarettes with 4 different smoking regimes (puff duration and volume, time interval
340 between 2 cigarettes) at the same time. The exact concentration of several smoke
341 constituents, including nicotine, carbon monoxide and total/respirable suspended
342 particulate matter can be automatically analyzed in SS and/or MS. While some of those
343 ASM have been used in animal inhalation studies, they are primarily made for the
344 tobacco industry, to provide precise chemical analysis of MS and/or SS under

345 standardized regimens (FTC/ISO standards), which is mandatory in many countries
346 (12).

347 Various systems have been used since the 1950s in numerous animal inhalation studies
348 to assess the effect of MS or ETS (see Coggins 2007 for review). Most often, ETS
349 surrogates used in previous studies were diluted and aged SS (17-19) or room-aged
350 (20, 21) SS, with no exhaled MS, due to the technical difficulty to produce the latter.
351 However, chemical composition of MS and SS is known to be different, especially due to
352 the lower temperatures, which generate SS, as compared to MS (11). We have taken a
353 somewhat different approach. In our system, the smoke, to which each lamb is exposed,
354 is not simply the smoke generated by the ASM (fresh SS and MS). It is rather a mixture
355 of SS, MS and exhaled MS (from the other lamb), which is diluted by the system
356 ventilation and somewhat aged in the exposure chamber. Also, continuous
357 measurement of CO (figure 3) and particulate matter concentration (figure 4) shows that
358 exposure level follows important variations with time. We believe that such an ETS
359 exposure is at least as relevant as continuous exposure to aged and diluted SS alone
360 with a fixed composition for our studies attempting to infer the effects of ETS on infants.
361 Indeed, infants are often nursed in the immediate vicinity of the smoker (in their arms),
362 hence the levels of SS and exhaled MS, to which they are exposed, inevitably vary with
363 time. Finally, it must be recognized that, while no ETS surrogate perfectly reproduces
364 real life ETS, composition of the latter is highly variable with the cigarette brand, the
365 smoker and from one moment to another (12).

366
367 The user-friendly interface, which enables to change the programming of the various
368 parameters independently from one another, is a unique characteristic of our ASM. In

369 the present validation study, the ASM parameters (time interval between 2 cigarettes,
370 volume of each puff) were set in accordance with the ISO 3308 norms established in
371 1977, except for the time between two puffs, which has since been shown to be, on
372 average, 30 seconds instead of 60 seconds (22). While our ASM is not currently
373 designed to deliver exact levels of smoke constituents, the latter can be easily
374 modulated by varying the number or pattern cigarettes are burnt, e.g., frequency,
375 duration and/or volume of the puffs (see ISO 3308), and/or by modifying exposure
376 chamber venting. In addition, rather than burning several cigarettes at the same time,
377 the exposure level can be increased by decreasing the time duration between two
378 cigarettes from one hour to one minute. The level of exposure can then be readily
379 assessed by measuring urinary cotinine, whose knowledge again may be more relevant
380 to animal exposure studies than that of constituent levels in smoke. Indeed, intermittent
381 repeated exposure to cigarette smoke constituents, such as gases or suspended
382 particulates, may bear different physiological effects than constant exposure to the same
383 chemicals. An important result in our validation study concerns urinary
384 cotinine/creatinine measurements in C10 and C20 lambs which are in keeping with
385 findings in infants exposed to ETS (23-26).

386

387 Most studies on the effects of cigarette smoke in adult animals have been performed in
388 rodents (19). The few studies on the effects of cigarette smoke in adult, non-rodent
389 species were performed either acutely in anesthetized ewes through a tracheal tube
390 (27), or chronically in tracheotomized sheep (28, 29) and dogs (19, 30), in intact dogs
391 using a mask (31, 32) or in baboons taught to inhale through the mouth (33). Studies in
392 large newborn mammals were also initially performed in lambs as a model of bronchitis,

393 using a tracheostomy tube (29) or an ASM custom-made from a Bird ventilator (29, 34).
394 More recently, studies on the cerebral effects of chronic cigarette smoke exposure (up to
395 13 months) were performed in non-sedated newborn rhesus monkeys (14, 35). In these
396 latter studies, the Teague ASM originally built for rodent or cell exposure (17) was used
397 in association with a 3.5 m³ exposure chamber similar to the Plexiglas chamber used in
398 the present study. Whole body exposure was preferred in the present study, both for
399 ethical considerations (no contention) and to better mimic real life exposure in infants.

400 To the best of our knowledge, our ASM is the first specifically designed and validated
401 device for large newborn mammals. An advantage of our equipment, both from a
402 physiological and ethical standpoint, is the possibility to house two newborn lambs at the
403 same time in the exposure chamber. Moreover, dimensions of our exposure chamber
404 allow to house one ewe during gestation. Furthermore, our chamber could readily
405 accommodate various animal species such as piglets, dogs, cats, monkeys or encaged
406 rodents. Versatile programming of the various parameters of our ASM *via* the user-
407 friendly interface allows for easy adaptation of ETS to every experimental condition and
408 animal, up to the size of an adult sheep.

409 Although results from previous studies suggest that some brain effects can be directly
410 ascribed to nicotine exposure alone in the perinatal period (36), ETS studies clearly
411 remain important. Indeed, while we did not observe upper airway inflammation, which
412 may be a significant risk factor for SIDS or apparent life-threatening events in infants *via*
413 alteration of upper airway sensitivity (37), comparing the effects of nicotine alone to the
414 effects of ETS using our ASM in the same study would allow recognizing the direct effect
415 of nicotine more readily. Of note, the increased activity observed in some lambs during

416 ETS exposure in the present study is remindful of the behavioural problems reported in
417 children following ETS exposure (38), such as attention-deficit hyperactivity disorder
418 (39).

419
420 The choice to perform our validation exposure using postnatal instead of prenatal (or
421 prenatal plus postnatal) exposure was not solely based on the cost or easiness of caring
422 for lambs, comparatively to a ewe. Previous studies on the effects of cigarette smoke
423 exposure on brain cell damage in monkeys suggest that postnatal exposure has the
424 same consequences as prenatal and prenatal plus postnatal exposure, probably due to
425 adaptive changes in defense mechanisms (14). Accordingly, part of our forthcoming
426 research program will focus on postnatal exposure to cigarette smoke.

427

428

429

430 **CONCLUSION**

431

432 The automatic smoking machine designed herein is able to mimic mainstream and side-
433 stream cigarette smoke exposure of variable intensity. Validation of the machine has
434 shown that our initial aim to build a versatile, user-friendly device for use in newborn
435 lambs has been reached. Our newborn ovine models will be used to better ascertain the
436 effect of cigarette smoke exposure on laryngeal chemoreflexes, swallowing-breathing
437 coordination, control of heart rhythm variability, all of which are involved in apparent life-
438 threatening events of infancy and sudden infant death syndrome. In addition, our
439 versatile equipment, which can easily be built by other research teams using the
440 information provided herein, can be readily used in large as well as small animal species
441 to assess the biological effects of cigarette smoke exposure, especially in the perinatal
442 period.

443

444 **ACKNOWLEDGEMENTS**

445 The authors gratefully acknowledge the technical assistance of Jean-Philippe Gagné
446 and Dr. Alexandre Doueik for histological analyses. The study was supported by the
447 Canadian Institutes of Health Research (Grant MOP 15558) and the Foundation of
448 Stars. J-P Praud is the holder of the Canada Research Chair in Neonatal Respiratory
449 Physiology and a member of the *FRSQ-funded Centre de recherche clinique Etienne-Le*
450 *Bel du Centre Hospitalier Universitaire de Sherbrooke.*

451

452 **REFERENCES**

453 (1) Adgent MA. Environmental tobacco smoke and sudden infant death syndrome: a
454 review. Birth Defects Res.B.Dev.Reprod.Toxicol. 2006 Feb;77(1):69-85.

455 (2) Alm B, Milerad J, Wennergren G, Skjaerven R, Oyen N, Norvenius G, et al. A case-
456 control study of smoking and sudden infant death syndrome in the Scandinavian
457 countries, 1992 to 1995. The Nordic Epidemiological SIDS Study. Arch.Dis.Child. 1998
458 Apr;78(4):329-334.

459 (3) DiFranza JR, Aligne CA, Weitzman M. Prenatal and postnatal environmental tobacco
460 smoke exposure and children's health. Pediatrics 2004 Apr;113(4 Suppl):1007-1015.

461 (4) Horne RS, Franco P, Adamson TM, Groswasser J, Kahn A. Influences of maternal
462 cigarette smoking on infant arousability. Early Hum.Dev. 2004 Aug;79(1):49-58.

463 (5) Hunt CE, Hauck FR. Sudden infant death syndrome. CMAJ 2006 Jun
464 20;174(13):1861-1869.

465 (6) McDonnell M, Mehanni M, McGarvey C, Oregan M, Matthews TG. Smoking: the
466 major risk factor for SIDS in Irish infants. Ir.Med.J. 2002 Apr;95(4):111-113.

467 (7) Slotkin TA. If nicotine is a developmental neurotoxicant in animal studies, dare we
468 recommend nicotine replacement therapy in pregnant women and adolescents?
469 Neurotoxicol.Teratol. 2008 Jan-Feb;30(1):1-19.

- 470 (8) Mitchell EA, Milerad J. Smoking and the sudden infant death syndrome.
471 Rev.Environ.Health 2006 Apr-Jun;21(2):81-103.
- 472 (9) Anderson HR, Cook DG. Passive smoking and sudden infant death syndrome:
473 review of the epidemiological evidence. Thorax 1997 Nov;52(11):1003-1009.
- 474 (10) McMartin KI, Platt MS, Hackman R, Klein J, Smialek JE, Vigorito R, et al. Lung
475 tissue concentrations of nicotine in sudden infant death syndrome (SIDS). J.Pediatr.
476 2002 Feb;140(2):205-209.
- 477 (11) Moir D, Rickert WS, Levasseur G, Larose Y, Maertens R, White P, et al. A
478 comparison of mainstream and sidestream marijuana and tobacco cigarette smoke
479 produced under two machine smoking conditions. Chem.Res.Toxicol. 2008
480 Feb;21(2):494-502.
- 481 (12) Thielen A, Klus H, Muller L. Tobacco smoke: unraveling a controversial subject.
482 Exp.Toxicol.Pathol. 2008 Jun;60(2-3):141-156.
- 483 (13) Slotkin TA, Pinkerton KE, Tate CA, Seidler FJ. Alterations of serotonin synaptic
484 proteins in brain regions of neonatal Rhesus monkeys exposed to perinatal
485 environmental tobacco smoke. Brain Res. 2006 Sep 21;1111(1):30-35.
- 486 (14) Slotkin TA, Pinkerton KE, Seidler FJ. Perinatal environmental tobacco smoke
487 exposure in rhesus monkeys: critical periods and regional selectivity for effects on brain
488 cell development and lipid peroxidation. Environ.Health Perspect. 2006 Jan;114(1):34-
489 39.

490 (15) Andritsch RF, Muravchick S, Gold MI. Temperature correction of arterial blood-gas
491 parameters: A comparative review of methodology. *Anesthesiology* 1981 Sep;55(3):311-
492 316.

493 (16) Koufman JA. The otolaryngologic manifestations of gastroesophageal reflux
494 disease (GERD): a clinical investigation of 225 patients using ambulatory 24-hour pH
495 monitoring and an experimental investigation of the role of acid and pepsin in the
496 development of laryngeal injury. *Laryngoscope* 1991 Apr;101(4 Pt 2 Suppl 53):1-78.

497 (17) Teague SV PK. sidestream cigarette smoke generation and exposure system for
498 environmental tobacco smoke study. *inhal toxicol* 1994;6:104-112.

499 (18) Ayres PH, Mosberg AT, Coggins CR. Design, construction, and evaluation of an
500 inhalation system for exposing experimental animals to environmental tobacco smoke.
501 *Am.Ind.Hyg.Assoc.J.* 1994 Sep;55(9):806-810.

502 (19) Coggins CR. An updated review of inhalation studies with cigarette smoke in
503 laboratory animals. *Int.J.Toxicol.* 2007 Jul-Aug;26(4):331-338.

504 (20) Hausmann HJ, Anskeit E, Becker D, Kuhl P, Stinn W, Teredesai A, et al.
505 Comparison of fresh and room-aged cigarette sidestream smoke in a subchronic
506 inhalation study on rats. *Toxicol.Sci.* 1998 Jan;41(1):100-116.

507 (21) Stinn W, Teredesai A, Anskeit E, Rustemeier K, Schepers G, Schnell P, et al.
508 Chronic nose-only inhalation study in rats, comparing room-aged sidestream cigarette
509 smoke and diesel engine exhaust. *Inhal.Toxicol.* 2005 Oct;17(11):549-576.

- 510 (22) Bridges RB, Combs JG, Humble JW, Turbek JA, Rehm SR, Haley NJ. Puffing
511 topography as a determinant of smoke exposure. *Pharmacol.Biochem.Behav.* 1990
512 Sep;37(1):29-39.
- 513 (23) Blackburn CM, Bonas S, Spencer NJ, Coe CJ, Dolan A, Moy R. Parental smoking
514 and passive smoking in infants: fathers matter too. *Health Educ.Res.* 2005
515 Apr;20(2):185-194.
- 516 (24) Joseph DV, Jackson JA, Westaway J, Taub NA, Petersen SA, Wailoo MP. Effect of
517 parental smoking on cotinine levels in newborns. *Arch.Dis.Child.Fetal Neonatal Ed.* 2007
518 Nov;92(6):F484-8.
- 519 (25) Anuntaseree W, Mo-Suwan L, Ovatlarnporn C, Tantana C, Ma-a-Lee A. Exposure
520 to environmental tobacco smoke among infants in southern Thailand: a study of urinary
521 cotinine. *Bull.Environ.Contam.Toxicol.* 2008 Jan;80(1):34-37.
- 522 (26) Kott KS, Salt BH, McDonald RJ, Jhawar S, Bric JM, Joad JP. Effect of secondhand
523 cigarette smoke, RSV bronchiolitis and parental asthma on urinary cysteinyl LTE4.
524 *Pediatr.Pulmonol.* 2008 Aug;43(8):760-766.
- 525 (27) McTiernan MJ, Burchfield DJ, Abrams RM, Cassin S. Carboxy- and oxyhemoglobin
526 in pregnant ewe and fetus after inhalation of marijuana, marijuana placebo and tobacco
527 cigarette smoke. *Life Sci.* 1988;43(24):2043-2047.
- 528 (28) Kirschbaum TH, Dilts PV,Jr, Brinkman CR,3rd. Some acute effects of smoking in
529 sheep and their fetuses. *Obstet.Gynecol.* 1970 Apr;35(4):527-536.

- 530 (29) Mawdesley-Thomas LE, Healey P. Experimental bronchitis in lambs exposed to
531 cigarette smoke. Arch.Environ.Health 1973 Oct;27(4):248-250.
- 532 (30) Hammond EC, Auerbach O, Kirman D, Garfinkel L. Effects of cigarette smoking on
533 dogs. Arch.Environ.Health 1970 Dec;21(6):740-753.
- 534 (31) Cross FT, Palmer RF, Filipy RE, Dagle GE, Stuart BO. Carcinogenic effects of
535 radon daughters, uranium ore dust and cigarette smoke in beagle dogs. Health Phys.
536 1982 Jan;42(1):33-52.
- 537 (32) Nikula KJ, Green FH. Animal models of chronic bronchitis and their relevance to
538 studies of particle-induced disease. Inhal.Toxicol. 2000;12 Suppl 4:123-153.
- 539 (33) Rogers WR, Carey KD, McMahan CA, Montiel MM, Mott GE, Wigodsky HS, et al.
540 Cigarette smoking, dietary hyperlipidemia, and experimental atherosclerosis in the
541 baboon. Exp.Mol.Pathol. 1988 Feb;48(1):135-151.
- 542 (34) Stecenko A, McNicol K, Sauder R. Effect of passive smoking on the lung of young
543 lambs. Pediatr.Res. 1986 Sep;20(9):853-858.
- 544 (35) Slotkin TA, Pinkerton KE, Seidler FJ. Perinatal exposure to environmental tobacco
545 smoke alters cell signaling in a primate model: autonomic receptors and the control of
546 adenylyl cyclase activity in heart and lung. Brain Res.Dev.Brain Res. 2000 Nov
547 30;124(1-2):53-58.
- 548 (36) Slotkin TA, Seidler FJ, Qiao D, Aldridge JE, Tate CA, Cousins MM, et al. Effects of
549 prenatal nicotine exposure on primate brain development and attempted amelioration

550 with supplemental choline or vitamin C: neurotransmitter receptors, cell signaling and
551 cell development biomarkers in fetal brain regions of rhesus monkeys.
552 Neuropsychopharmacology 2005 Jan;30(1):129-144.

553 (37) Dua K, Bardan E, Ren J, Sui Z, Shaker R. Effect of chronic and acute cigarette
554 smoking on the pharyngo-upper oesophageal sphincter contractile reflex and reflexive
555 pharyngeal swallow. Gut 1998 Oct;43(4):537-541.

556 (38) Yolton K, Khoury J, Hornung R, Dietrich K, Succop P, Lanphear B. Environmental
557 tobacco smoke exposure and child behaviors. J.Dev.Behav.Pediatr. 2008
558 Dec;29(6):450-457.

559 (39) Heffner JL, Johnson CS, Blom TJ, Anthenelli RM. Relationship between cigarette
560 smoking and childhood symptoms of inattention and hyperactivity/impulsivity in alcohol-
561 dependent adults without attention-deficit hyperactivity disorder. Nicotine Tob.Res. 2010
562 Jan 18.

563

564

565 **FIGURE LEGENDS**

566

567 **Figure 1:** Technical schematics of the automatic smoking machine without tubing. **A** : 1.
568 cigarette magazine; 2. limit switch sensor; 3. 24-volt motor; 4. holding unit; 5. limit switch
569 sensor; 6. step by step motor; 7. cigarette; 8. car lighter; 9. ashtray; 10. 24-volt DC
570 motor; 11. screw and sliding rods; 12. limit switch sensor; 13. 60 ml plastic syringe. **B** ;
571 Extracting unit: a. limit switch sensor, b. 13 mm slot, c. photomicrosensor, d. extracting
572 rod.

573

574 **Figure 2:** Mean weight throughout the fifteen days of exposure. White diamonds are for
575 control lambs (C0), black squares for daily exposure to ten cigarettes (C10), gray
576 triangles for daily exposure to twenty cigarettes (C20).

577

578 **Figure 3:** Carbon monoxide concentration in the ambient air during exposure to ten
579 cigarettes (C10) or twenty (C20) cigarettes daily.

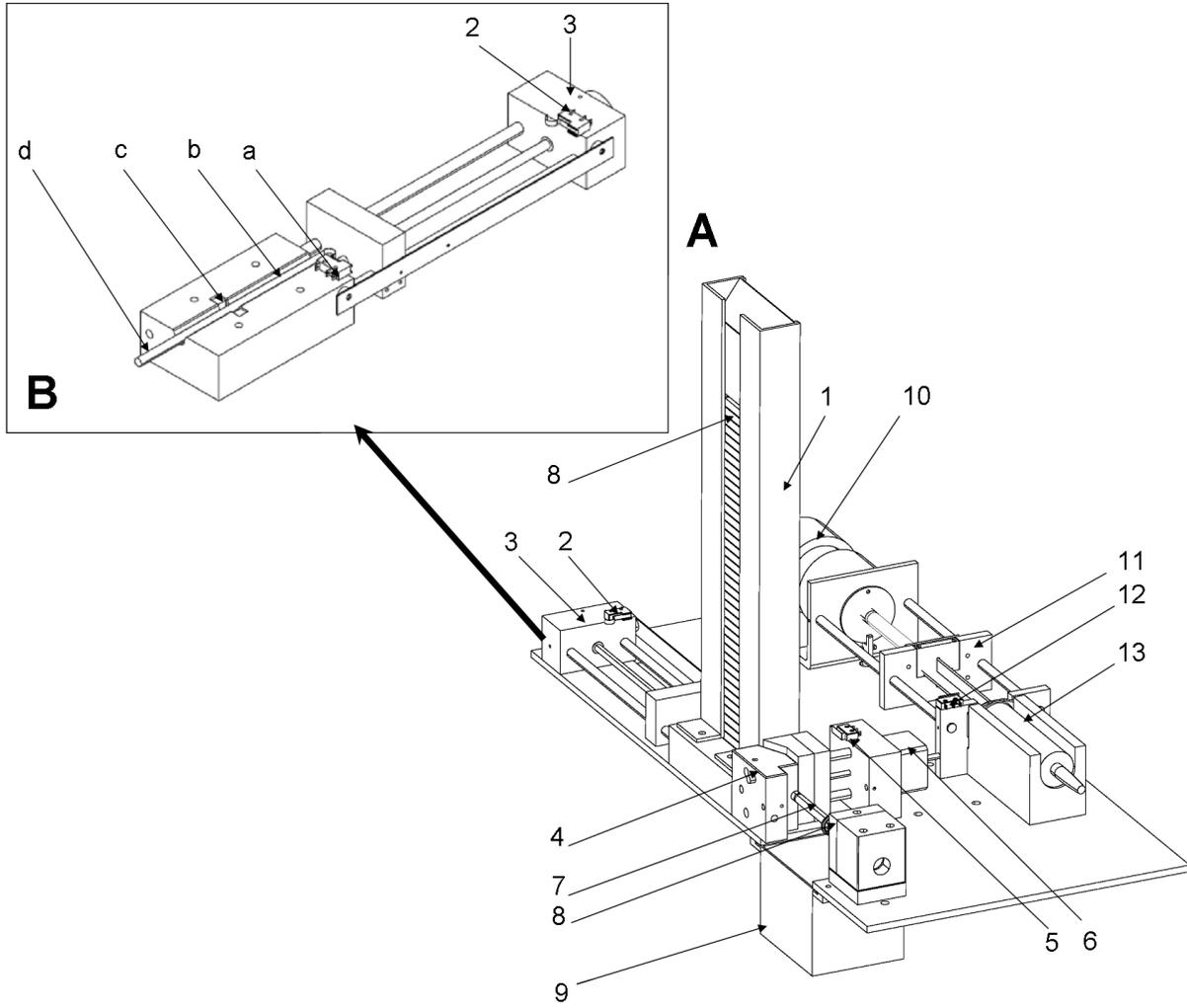
580

581 **Figure 4:** Variation of particulate matter concentration in the exposure chamber while
582 burning 10 cigarettes / 4h (corresponding to group C20). A : particles < 10 μm ; B :
583 particles < 2.5 μm . Results show intermittent exposure with particle concentration
584 increasing transiently with each smoked cigarette. As expected, median aerodynamic
585 diameter is almost entirely in the “respirable” (< 2.5 μm) particle range.

586

587 **FIGURES**

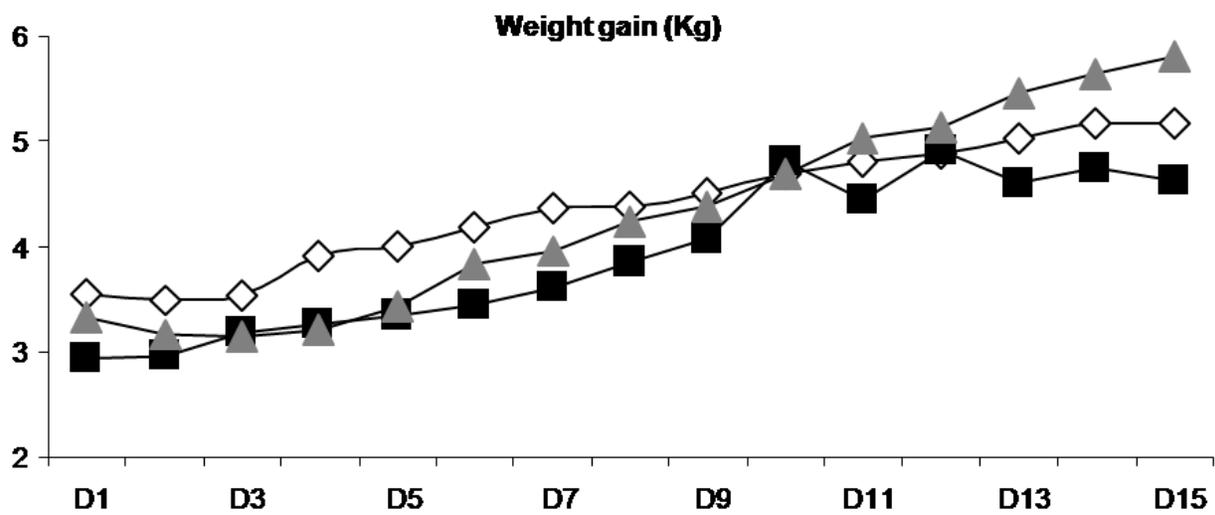
588 **Figure 1:**



589

590

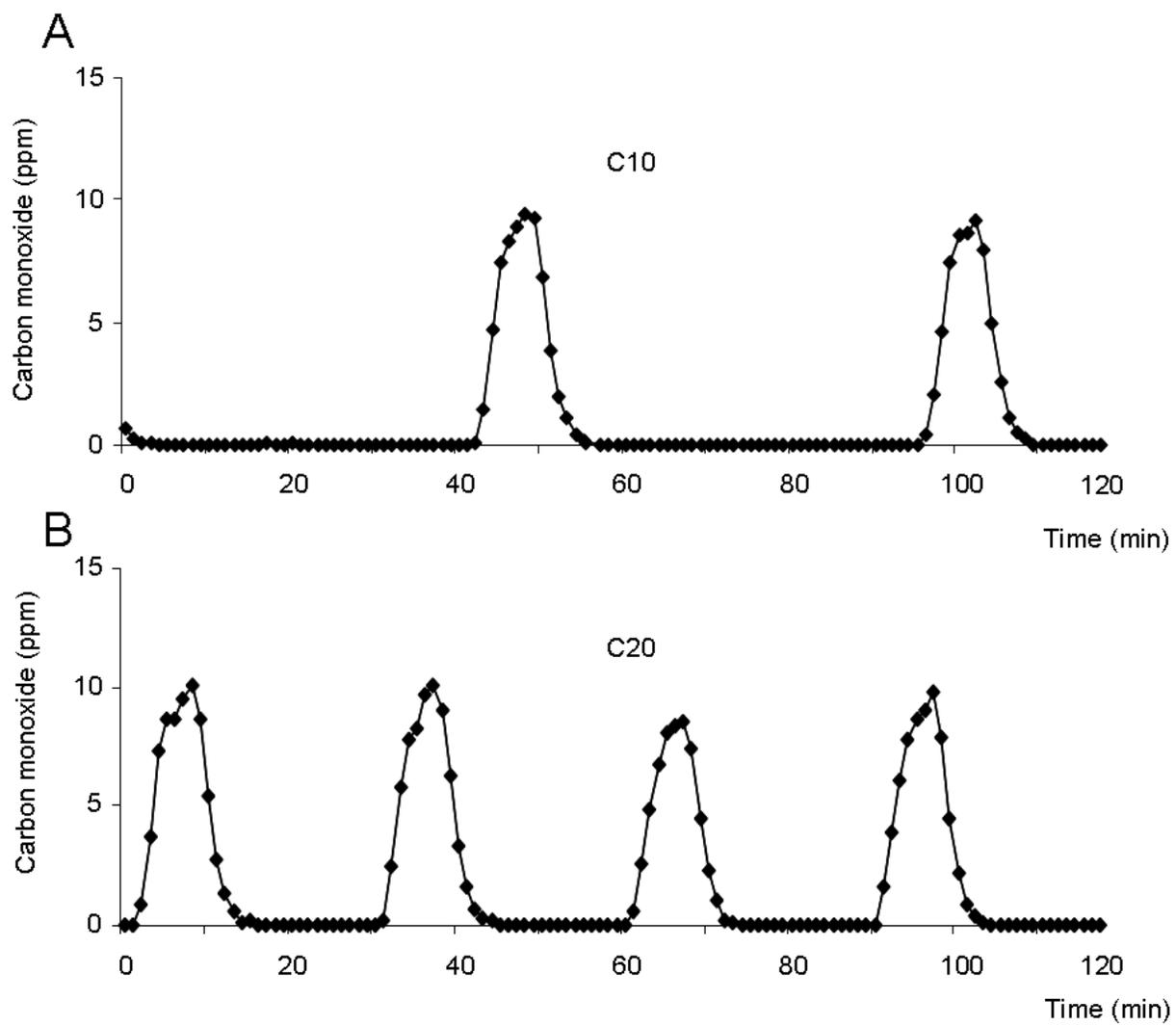
591 **Figure 2:**



592

593

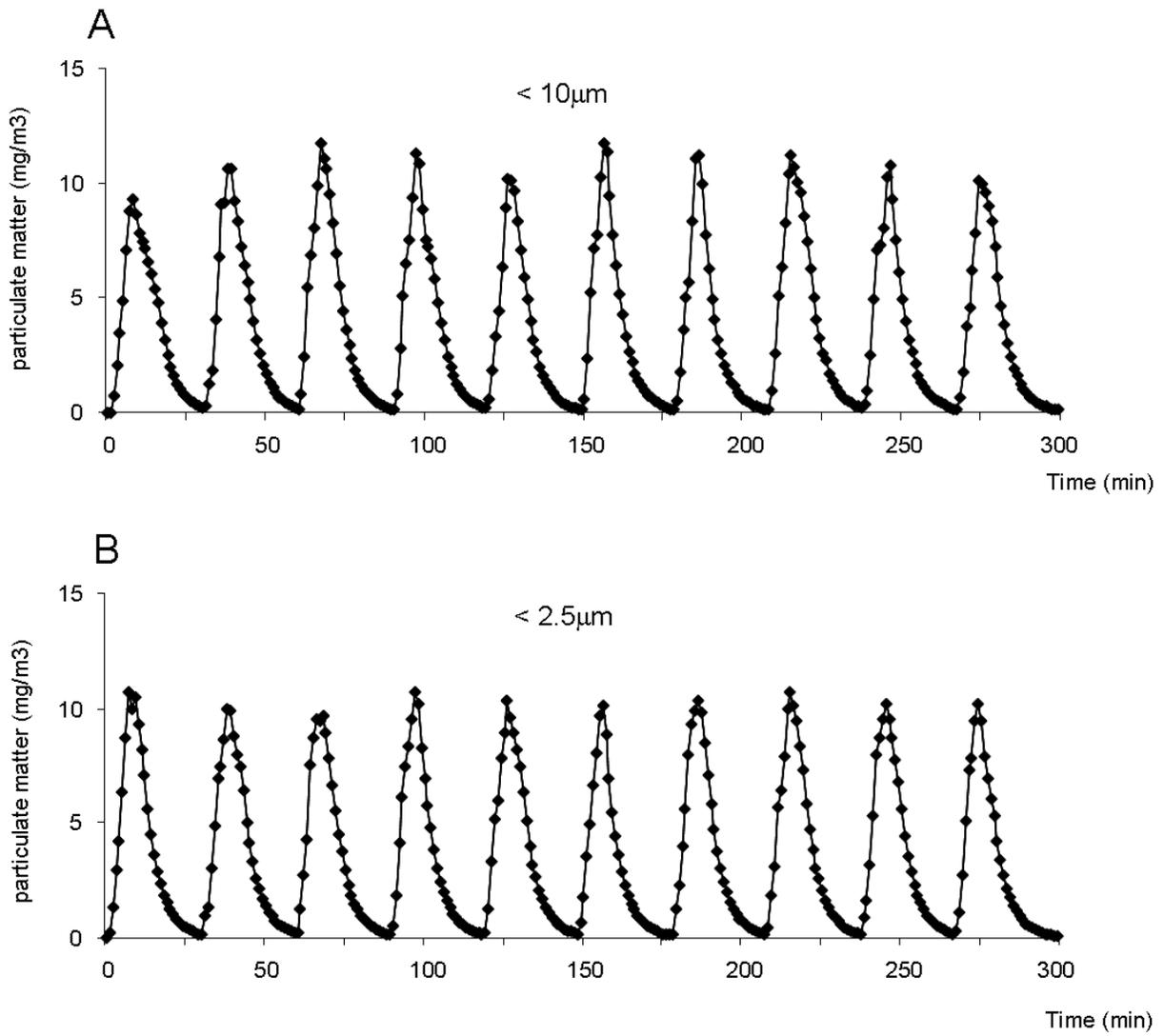
594 **Figure 3:**



595

596

597 **Figure 4:**



598